

Express Mail nO. EL755727239US



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/002,603	10/30/2001	Alexander Gaiger	210121.465C6

CONFIRMATION NO. 3627

00500
 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC
 701 FIFTH AVE
 SUITE 6300
 SEATTLE, WA 98104-7092



FORMALITIES LETTER



OC00000000738641

Date Mailed: 01/30/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1150.
 - \$450 for 25 total claims over 20.
 - \$420 for 5 independent claims over 3 .
 - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2020.

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A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04-01-02
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MP#

MAR 29 2002
Please type a plus sign (+) inside this box →

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

*(To be used for all correspondence
after initial filing)*

EXPRESS MAIL NO. EL755727239US

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Application Number	10/002,603
Filing Date	October 30, 2001
First Named Inventor	Alexander Gaiger
Group Art Unit	1644
Examiner Name	
Attorney Docket No.	210121.465C6

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD(s), Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <u>Copy of Notice to File Missing Parts</u> <hr/> <hr/> <hr/>
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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Individual Name	Jeffrey E. Hundley	 00500 <small>PATENT TRADEMARK OFFICE</small>
Signature		
Date	March 29, 2002	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date specified below.

Typed or printed name		Date:
Signature		



EXPRESS MAIL NO. EL755727239US

Approved for use through 10/31/2002 OMB 0651-0032

Complete If Known

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 2020)

Attorney Docket No. 210121.465C6

METHOD OF PAYMENT

Payment Enclosed:
 Check Credit card Money Order Other

 Deposit Account:

Deposit Account Number	19-1090
Deposit Account Name	Seed Intellectual Property Law Group PLLC

The Commissioner is authorized to (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 Charge any deficiencies

to the above-identified deposit account.

 Applicant claims small entity status. See 37 CFR 1.27.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity						
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description				
101 740	201 370		Utility filing fee				
106 330	206 165		Design filing fee				
107 510	207 255		Plant filing fee				
108 740	208 370		Reissue filing fee				
114 160	214 80		Provisional filing fee				
SUBTOTAL (1)							
							(\$ 740)
2. EXTRA CLAIM FEES							
Total Claims	45	-20** =	25	• 18	= 450		
Independent Claims	8	-3** =	5	• 84	= 420		
Multiple Dependent				• 280	= 280		
SUBTOTAL (2)							
							(\$ 1150)
*Reduced by Basic Filing Fee Paid							
SUBTOTAL (3) (\$ 130)							

**or number previously paid, if greater. For Reissues, see above

SUBMITTED BY

Name (Print/Type)	Jeffrey E. Hundley	Registration No. Attorney/Agent	42,676
Firm Name/ Address			
Signature	Date March 29, 2002		



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PATENT TRADEMARK OFFICE



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Alexander Gaiger et al.
Application No. : 10/002,603
Filed : October 30, 2001
For : COMPOSITIONS AND METHODS FOR WT1 SPECIFIC
IMMUNOTHERAPY
Art Unit : 1644
Docket No. : 210121.465C6
Date : March 29, 2002

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Commissioner for Patents:

In response to the Notice to File Missing Parts dated January 30, 2002, please find enclosed the following documents:

Fee
 Oath/Declaration
 Copy of Notice to File Missing Parts

Respectfully submitted,
Alexander Gaiger et al.
Seed Intellectual Property Law Group PLLC


Jeffrey E. Hundley
Registration No. 42,676